



## Compliance Code (Principles)

---

15-6, Nihonbashi Kabuto-cho, Chuo-ku,  
Tokyo 103-0026  
JAPAN

Tel : +81-3-3669-5181  
Fax : +81-3-3669-5190  
E-mail : [pdd.jp@omicnet.common](mailto:pdd.jp@omicnet.common)  
URL : <http://www.omicnet.com>

Overseas Merchandise Inspection Co., Ltd.

## Contents

I.	Introduction .....	3
II.	Compliance Principles .....	4

# I Introduction

## 1 Objective

The objective of this Compliance Code ("Code") is to enhance the status of the Profession<sup>1</sup> by ensuring that OMIC<sup>2</sup> abides by high standards of professional conduct throughout OMIC Organization<sup>3</sup> to assure its ethical behaviour and the integrity of its services.

## 2 Definitions

Any words and expressions, which are defined in the IFIA Articles of Association, dated 12 October 2001, shall have the same meaning in this Code unless otherwise defined herein.

## 3 Code Documentation

This Code is based on the following documentation:-

- (i) IFIA Compliance Principles
- (ii) IFIA Compliance Rules

## 4 Date of Entry into Force

The First Edition of this Code entered into force on 1<sup>st</sup> April 2004. This Second Edition enters into force on 4<sup>th</sup> July 2005.

<sup>1</sup> "Profession" means field of business concerned with assessment of relevant requirements as per normative documents such as standards, technical specifications, client specific requirements, code of practice and regulations including, but not limited to, sampling, testing, inspection, analysis, evaluation, verification, conformity assessment and certification. (Article 1, IFIA Article of Association dated 12 October 2001)

<sup>2</sup> "OMIC" means Overseas Merchandise Inspection Co., Ltd. Including entities designated as OMIC Group members under Article 7(a) of the Article of Association. ( § 3,IFIA Council Regulation No.1 as amended July, 2003) Except where the context otherwise requires, other entities within OMIC Organisation are included.

<sup>3</sup> "Organisation" means OMIC and OMIC's Subsidiary Companies, and any corporations, firms or other bodies, over which OMIC has effective legal and/or management control, whether or not engaged in the Profession. Fields of business falling outside the Profession are included, in order to enhance and protect the reputation of the profession as a whole, since they still fall under the control of OMIC.

## II Compliance Principles

### 1 Integrity

OMIC shall operate in a professional, independent and impartial manner in all its activities.

OMIC shall carry out its work honestly and shall not tolerate any deviation from its approved methods and procedures. Where approved test methods make provision for tolerances in results, OMIC shall ensure that such tolerances are not abused to alter the actual findings.

OMIC shall report data, test results and other material facts in good faith and shall not improperly change them, and shall only issue reports and certificates that correctly present the actual findings, professional opinions or results obtained.

### 2 Conflicts of Interest

OMIC shall avoid conflicts of interest with any related entities in which OMIC has a financial or commercial interest and to which OMIC is required to provide services.

OMIC shall avoid conflicts of interest between OMIC's companies and/or divisions engaged in different activities but which may be providing services to either the same client or each other.

OMIC shall ensure that its employees avoid conflicts of interest with its activities.

### 3 Confidentiality

OMIC shall treat all information received in the course of the provision of its services as business confidential to the extent that such information is not already published, generally available to their parties or otherwise in the public domain.

### 4 Anti-bribery

OMIC shall prohibit the offer or acceptance of a bribe in any form, including kickbacks, on any portion of a contract payment.

OMIC shall prohibit the use of any routes or channels for provision of improper benefits to, or receipt of improper benefits from customers, agents, contractors, suppliers or employees of any such party, or government officials.

### 5 Fair Marketing

OMIC shall only present itself and conduct marketing, including any comparisons with or references to competitors or their services, in a manner that is truthful and not deceptive or misleading or likely to mislead.